

11321-P034US

PATENT

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1762

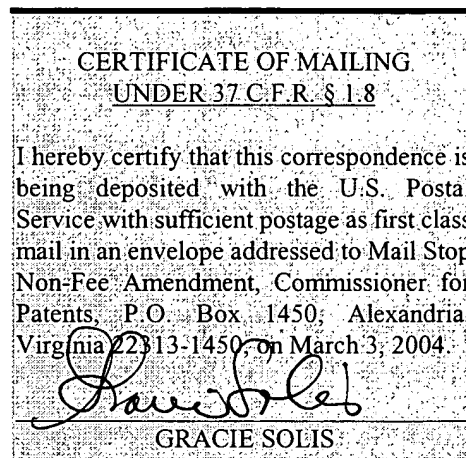
Serial No.: 09/935,493

Filed: August 23, 2001

Examiner: Elena Tsoy

Title: POLYMER-WRAPPED SINGLE WALL
CARBON NANOTUBES

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450



**TRANSMITTAL FOR RESPONSE TO
NOTICE OF NON-COMPLIANT AMENDMENT UNDER 37 C.F.R. § 1.121**

Dear Sir:

In connection with the above-identified patent application, Applicant transmits herewith Response to Notice of Non-Compliant Amendment Under 37 C.F.R. §1.121 and Acknowledgment Postcard.

- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

FEE FOR CLAIMS

The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	46	-	46	0	x \$18 =	\$ - 0.00 -
Independent Claims:	4	-	4	0	x \$86 =	\$ - 0.00 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					=	\$ - 0.00 -

- ☐ A check in the amount of \$_____ is enclosed to cover the costs for the additional claims (37 C.F.R. § 1.16(c)).
- ☐ Please charge Deposit Account No. 23-2426 (11321-P034US) in the amount of \$0. A **duplicate copy of this transmittal letter is enclosed.**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (11321-P034US). A **duplicate copy of this transmittal letter is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicant

By: _____

Ross Spencer Garsson

Reg. No. 38,150

P.O. Box 50784
Dallas, Texas 75201
(512) 370-2870

AUSTIN_1\244933\1
11321-P034US 03/03/2004



ATTORNEY DOCKET NO.
11321-P034US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.
Group Art Unit: 1762
Serial No.: 09/935,493
Filed: August 23, 2001
Examiner: Elena Tsoy
Title: POLYMER-WRAPPED SINGLE WALL
CARBON NANOTUBES



Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANCE UNDER 37 C.F.R. § 1.121

Dear Sir:

In response to the Notice of Non-Compliant Amendment under 37 C.F.R. § 1.121 having a mailing date of 3 February 2004, with an one-month shortened statutory period for response set to expire on 3 March 2004, please amend the above-identified Application as follows:

Amendments to the Claims begin on page 2 of this paper.